



Tm

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/716,839	
	Filing Date	November 18, 2003	
	First Named Inventor	Appel et al.	
	Art Unit	1764	
	Examiner Name	Boyer, Randy	
Total Number of Pages in This Submission (excluding references)	4	Attorney Docket Number	061136-0014 US

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement with Form PTO- 1449 citing 4 References  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Restriction Requirement  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Response to Restriction Requirement <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Inquiry  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): • Return postcard •
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 50-0310.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual	Morgan, Lewis & Bockius LLP Ada O. Wong	Reg. No. 55,740
Signature		
Date	March 28, 2007	

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Judi Stillwell		
Signature		Date	March 29, 2007



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:	Brian S. Appel et al.	Examiner:	BOYER, RANDY
Application No.:	10/716,839	Confirmation No.	7045
Filed:	November 18, 2003	Art Unit	1764
For:	APPARATUS AND PROCESS FOR CONVERTING A MIXTURE OF ORGANIC MATERIALS INTO HYDROCARBONS AND CARBON SOLIDS	Attorney Docket No.:	61136-0014-US

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97 and §1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/8A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

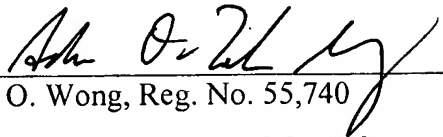
As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This Information Disclosure Statement is filed under 37 C.F.R. §1.97(b) before the mailing of the first office action on the merits. Applicant believes no fee is due at this time,

however, the Commissioner is authorized to deduct any necessary fees from, or credit any overpayment to, the undersigned's Deposit Account No. 50-0310 (matter 061136-0014-US).

Respectfully submitted,

Date: March 28, 2007

  
\_\_\_\_\_  
Ada O. Wong, Reg. No. 55,740

MORGAN LEWIS & BOCKIUS LLP  
2 Palo Alto Square  
3000 El Camino Real, Suite 700  
Palo Alto, CA 94306-2212  
(415) 442-1490



# INFORMATION DISCLOSURE CITATION

PTO-1449

## Complete If Known

Application Number	10/716,839
Filing Date	November 18, 2003
First Named Inventor	Brian S. APPEL
Art Unit	1764
Examiner Name	BOYER, RANDY
Attorney Docket Number	61136-0014-US

Sheet 1 of 1

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date if Appropriate
		Number - Kind Code <sup>1</sup>					
	A1	5,269,947	12/14/1993	Baskis			
	A2	5,360,553	11/1/1994	Baskis			
	A3	5,543,061	08/06/1996	Baskis			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class	Subclass	Translation	
		Country Code <sup>2</sup> - Number <sup>3</sup> - Kind Code <sup>4</sup> (if known)					Yes	No

## OTHER NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	A4	Third Party Submission under 37 C.F.R. § 1.99 submitted by Paul Baskis.

Examiner  
Signature

1-SF/7520765.1

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>2</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language Translation is attached.